

This form can be submitted using the Document Upload service when signed into the BMO InvestorLine website.

Please print clearly and fill out all sections required.

**A: Type of Margin account**

Please enter the account number that you would like to upgrade: \_\_\_\_\_

Account Type: Individual Joint

What type of margin account would you like to upgrade to?

Margin account    Margin account with Options    Margin account with short-selling    Margin account with options and short-selling

**B: Tell us about yourself (Primary Applicant)**

**Primary Account Holder**

First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

Employment status: Full Time (30 hours or more per week) Part Time (Less than 30 hours per week) Self Employed  
 Unemployed Retired (Please provide your last: occupation, employer's name, industry, city and prov.) Casual/contract Seasonal

Occupation: \_\_\_\_\_

Employer Name: \_\_\_\_\_

Industry: \_\_\_\_\_

Employer's Address (#, street): \_\_\_\_\_

Suite #: \_\_\_\_\_

City or Town: \_\_\_\_\_

Province: \_\_\_\_\_ Postal Code: \_\_\_\_\_

Employer Phone #: \_\_\_\_\_

Annual Income from all sources: \_\_\_\_\_

(Please round to the nearest dollar)

Net Liquid Assets (A): \_\_\_\_\_

(Cash & Securities less loans outstanding against securities)

Net Fixed Assets (B): \_\_\_\_\_

(Fixed assets less liabilities outstanding against fixed assets)

Estimated Net Worth (C): \_\_\_\_\_

(C=A+B)

**C: Tell us about yourself (Second Co-Applicant for Joint accounts only. If more than two co-applicants, please submit additional forms)**

**Co-Applicant (for joint account only)**

First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

Employment status: Full Time (30 hours or more per week) Part Time (Less than 30 hours per week) Self Employed  
 Unemployed Retired (Please provide your last: occupation, employer's name, industry, city and prov.) Casual/contract Seasonal

Occupation: \_\_\_\_\_

Employer Name: \_\_\_\_\_

Industry: \_\_\_\_\_

Employer's Address (#, street): \_\_\_\_\_

Suite #: \_\_\_\_\_

City or Town: \_\_\_\_\_

Province: \_\_\_\_\_ Postal Code: \_\_\_\_\_

Employer Phone #: \_\_\_\_\_

Annual Income from all sources: \_\_\_\_\_

(Please round to the nearest dollar)

Net Liquid Assets (A): \_\_\_\_\_

(Cash & Securities less loans outstanding against securities)

Net Fixed Assets (B): \_\_\_\_\_

(Fixed assets less liabilities outstanding against fixed assets)

Estimated Net Worth (C): \_\_\_\_\_

(C=A+B)

**D: Options** (if selecting margin account with Options, please fill out this section)

- A. How would you describe your options trading knowledge?                      Expert    Knowledgeable    Limited    None
- B. How many years of experience do you have trading options?                      \_\_\_
- C. What type of options would you like to trade in your account?                      Long calls or Puts    Covered    Spread

I have received the Risk Disclosure Statement for Futures and Options as part of the Client Agreement ([https://www.bmoinvestorline.com/selfDirected/pdfs/ClientAgreements\\_SD\\_E.pdf](https://www.bmoinvestorline.com/selfDirected/pdfs/ClientAgreements_SD_E.pdf)). I understand then special risks pertaining to trading in options and that BMO InvestorLine Inc. is not registered to trade in futures. I declare that I have adequate financial resources to sustain any such transaction in which I participate. I acknowledge that using borrowed money to finance the purchase of securities involves a greater risk than using cash resources only. If I borrow money to purchase securities, my responsibility to repay the loan and pay interest as required by its terms remains the same even if the value of the securities purchased declines.

Signature of Primary Applicant \_\_\_\_\_ Date \_\_\_\_\_

Signature of Co-Applicant  
if updating a joint account \_\_\_\_\_ Date \_\_\_\_\_

**Note:** When requesting Options, if you have an existing Trading Agent(TA) or Power of Attorney(POA) acting on your account, please submit a new (TA) Authorized Trading Agent or (POA) Filing Record for Power of Attorney form.

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**E: Signature & Acknowledgements**

Please review the below and check the boxes on the left for each item to acknowledge your agreement. Please sign at the bottom.

I/We hereby apply to be granted a margin facility with respect to the account selected in this application as being a "Margin Account".  
I/We certify that: i) I am capable of evaluating and bearing the financial risks inherent in borrowing on and use of margin to finance the buying of securities; and (ii) I/We understand and agree to the terms and conditions governing the use of Margin.

I certify that the information entered is true, correct and complete in every respect and understand that the margin requested will be applied to my BMO InvestorLine account specified above. Furthermore, I acknowledge and agree to the terms of the BMO Investorline's Client Agreement that are applicable to trading on margin and options, which documentation was provided to me upon opening my account.

By requesting the opening of either a cash investment account granted margin facility, I/we certify that the information in this application is true and complete and I have received the Client Agreements ([https://www.bmoinvestorline.com/selfDirected/pdfs/ClientAgreements\\_SD\\_E.pdf](https://www.bmoinvestorline.com/selfDirected/pdfs/ClientAgreements_SD_E.pdf))

I acknowledge that BMO InvestorLine Inc., the Bank and members of the BMO Financial Group may obtain information about me from my financial institution(s) or credit bureaus, and I authorize those third parties to give BMO InvestorLine Inc., the Bank and members of the BMO Financial Group such information and BMO InvestorLine Inc., the Bank and members of the BMO Financial Group to disclose any of this information to any financial institution with whom I propose to have financial dealings.

Signature of Primary Applicant \_\_\_\_\_ Date \_\_\_\_\_

Signature of Co-Applicant  
if updating a joint account \_\_\_\_\_ Date \_\_\_\_\_

