

This form can be submitted using the Document Upload service when signed into the BMO InvestorLine website.
Please print clearly and fill out all sections required. Please use this form for TFSA, RRSP, LIRA, LIF, RIF, RESP¹

A: Account Numbers

I hereby request to have the following accounts approved for options trading:

Options trading in registered accounts is restricted to long calls & put and covered calls written against long equity positions.

B: Tell us about yourself

Account Holder

First Name: _____ Last Name: _____

Annual Income from all sources: _____ (Please round to the nearest dollar)

Net Liquid Assets (A): _____ (Cash & Securities less loans outstanding against securities)

Net Fixed Assets (B): _____ (Fixed assets less liabilities outstanding against fixed assets)

Estimated Net Worth (C): _____ (C=A+B)

C: Options

A. How would you describe your options trading knowledge? Expert Knowledgeable Limited None

B. How many years of experience trading options do you have? _____

I have received the Risk Disclosure Statement for Futures and Options as part of the Client Agreement (bmoinvestorline.com/selfDirected/pdfs/ClientAgreements_SD_E.pdf). I understand the special risks pertaining to trading in options, and that BMO InvestorLine Inc. is not registered to trade in futures. I declare that I have adequate financial resources to sustain any such transactions in which I participate.

Signature of account holder: _____ Date: _____

Note: If you have a trading agent (TA) or power of attorney (POA) acting on your account, please submit a new Authorized Trading Agent or Power of Attorney form when requesting options trading.

¹If upgrading a joint RESP account, the co-subscriber should fill out a separate form.